



Account Number: \_\_\_\_\_ Account Name: \_\_\_\_\_

# FATCA and CRS Self-Certification Form for Financial Institutions

Please provide the information of the Account Holder as requested below.

Legal Name of Entity: \_\_\_\_\_

Global Intermediary Identification Number (GIIN): \_\_\_\_\_

FATCA Status: \_\_\_\_\_

CRS Status: \_\_\_\_\_

<b>1</b>	<b>2</b>	<b>3</b>
Country/Jurisdiction of Tax Residence: _____		

<b>1</b>	<b>2</b>	<b>3</b>
Taxpayer Identification Number (TIN): _____		

- If the Account Holder is:
- US Person under FATCA.....IRS Form W-9
  - An Investment Entity that is managed by another Financial Institution and located outside a Reportable Jurisdiction or a Participating Jurisdiction under CRS.....Form CRS-E and Form CRS-CP
- The following form(s) should also be completed:

For further information, please refer to the following websites:  
 FATCA – <https://www.irs.gov/businesses/corporations/foreign-account-tax-compliance-act-fatca>  
 CRS – <https://www.crs.hsbc.com/>

<p><b>I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.</b></p> <p>I undertake to advise HSBC within 30 days of any change in circumstances which affects the tax residency status of the Account Holder identified in this form or causes the information contained herein to become incorrect (including any changes to the information on controlling persons identified), and to provide HSBC a suitably updated self-certification and Declaration within 90 days of such change in circumstances.</p>	<p>Signature(s):</p> <div style="border: 1px solid black; height: 40px; margin-bottom: 10px;"></div> <p>Print Name(s):</p> <div style="border: 1px solid black; height: 20px; margin-bottom: 10px;"></div> <p>Date ( DDMMYYYY )</p> <table border="1" style="width: 100%; text-align: center;"> <tr> <td style="width: 12.5%; height: 20px;"> </td> <td style="width: 12.5%;"> </td> <td style="width: 12.5%;"> </td> <td style="width: 12.5%;"> </td> <td style="width: 12.5%;"> </td> <td style="width: 12.5%;"> </td> <td style="width: 12.5%;"> </td> <td style="width: 12.5%;"> </td> </tr> </table> <p><b>Note:</b> Please indicate the capacity in which you are signing the form (for example 'Authorised Officer'). If signing under a power of attorney please also attach a certified copy of the power of attorney.</p> <p>Capacity:</p> <div style="border: 1px solid black; height: 40px; margin-top: 10px;"></div>								