

HSBC Global Asset Management (Taiwan) Limited (“HSBC”), for the purpose of (1) processing transactions with HSBC, (2) providing suitable products and services information, (3) meeting the needs of business, finance, taxation, operation or risk management of HSBC (including, but not limited to, self or co-marketing, statistic survey and analysis, internal control, management and audit, execution of anti-money laundering operation, and coordination with the investigation for antiterrorist sanctions and etc.), (4) fulfilling the purposes stated in the first Clause herein, or the various purposes as the registered business licenses or articles of incorporation of HSBC or any others permitted by laws, (5) performing “Know Your Customer” procedure, (6) exchanging credit checking and financial information among banks and financial institutions, (7) providing the personal data collected to the objects identified in third Clause herein for the purposes permitted by its registered business lines, articles of incorporation or laws, and (8) engaging third parties to process the relevant affairs, is entitled to gather, conduct processing, utilize and conduct international transmission of your personal data (please see second Clause herein for details) and is advising you of the following contents for your perusal in accordance with Personal Data Protection Act:

1. Specific purposes for collection of personal data:

According to the “The Purposes Specified in Personal Data Protection Act and Category of Personal Data” promulgated by Ministry of Justice, HSBC collects your personal data for the specific purposes as Appendix 1.

2. Category of personal data:

It is subject to the personal data that is actually collected by HSBC through the relevant businesses, accounts or services offered to you. HSBC collects your personal data based on the needs of respective businesses, accounts or services, and the data is categorized into nine types as the following according to the “The Purposes Specified in Personal Data Protection Act and Category of Personal Data” promulgated by Ministry of Justice:

- (1) Identification C001 to C003 (e.g., name, telephone number, bank account number or credit card number, and ID No., etc.)
- (2) Characteristics C011 to C013 (e.g., gender, and date of birth)
- (3) Family status C021 to C024 (e.g., marital status, and name of spouse)
- (4) Societal status C031 to C041 (e.g., residence, property and resident visa)
- (5) Education, examination, technique or other expertise C051 to C053 (e.g., academic degree and profession)
- (6) Employment C061 to C062, C064, C066 and C068 (e.g., employer, job title and salary)
- (7) Financial information C081 to C089, C091 to C094 (e.g., total revenue, total income, loan, foreign exchange record and credit limit)
- (8) Business information C101 to C103 (e.g., type of business)
- (9) Other information C131 to C132 (e.g., unclassified emails)

3. Period, area, objects and method of use for personal data:

- (1) Period: Duration of the specific purpose for collection of personal data, the expiration period defined for maintenance of information agreed under laws or contracts (e.g., Money Laundering Control Act and Business Entity Accounting Act, etc.), or the expiration period defined by HSBC (if longer than that defined by laws).
- (2) Area: Local and foreign locations of the following objects.
- (3) Objects: HSBC, corresponding banks and financial institutions, Taiwan Stock Exchange, Taiwan Futures Exchange, GreTai Securities Market, TDCC, stock issuers, Taiwan Clearing House, Joint Credit Information Center, Financial Ombudsman Institution, outsourcing organizations or any third parties entering into cooperative or mandated relationship with HSBC (including, but not limited to, the institutions commissioned to engage in market survey), receivers of personal data internationally transmitted who are not restricted by the central industrial competent authorities, HSBC’s counterparts engaging in co-marketing or co-promotion, any other institutions having business or trading relationships with HSBC, empowered or authorized agencies, financial supervisory authorities, parties wishing to acquire from or assign to HSBC any assets and liabilities, assume risk or proceed with merger activities (if any), HSBC’s parent company, HSBC Holdings PLC and all its subsidiaries and affiliates thereof (hereinafter referred to as “HSBC Group Members” collectively), and any competent authorities, judicial or other governmental bodies which have jurisdiction over HSBC and HSBC Group Members in the R.O.C., the United Kingdom, Hong Kong and any other places.
- (4) Method of use: By automated machine or any other non-automated means.

4. According to Article 3 of Personal Data Protection Act, you may exercise the following rights against HSBC with respect to your personal data held by HSBC:

- (1) Inquire or request to review or reproduce your personal data. HSBC shall, pursuant to laws, charge the necessary

cost and expense;

- (2) Request HSBC to supplement or correct your personal data. You shall exercise it with reasonable explanation in accordance with laws;
- (3) Request HSBC to stop collection, processing or using, or to delete your personal data. However, HSBC shall, pursuant to laws, disregard the request if such data is mandated to execute relevant processes.

5. You may choose to provide your personal data in your sole discretion:

You may choose to provide your personal data in your sole discretion. Provided that you refuse to provide your personal data, HSBC shall not be able to perform the necessary review and processing to offer the relevant services to you. HSBC may hereby decline your application for relevant transactions or services.

6. You agree that HSBC is entitled to amend the advice and to notify you of the outlined amendments and designated webpage, verbally, in writing, or via telephone, SMS, email, fax, e-document, branch and website, or in any other manners sufficient to enable you to know or perceive the amendments (including, but not limited to, providing the access to any website disclosing the details of advice in aforesaid manners). Please peruse the content on the designated webpage accordingly then.

7. In case of any inconsistency between the terms and conditions contained in the contract or instrument entered into between you and HSBC previously with respect to advice on collection, processing, using and international transmission of personal data and the “Letter of Advice on Collecting, Processing and Using Personal Data”, this letter shall prevail.

8. An English version of the “Letter of Advice on Collecting, Processing and Using Personal Data” is made to facilitate reference by foreign language users. In case of any discrepancy between the Chinese and English version of this letter, the Chinese version shall prevail.

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Appendix 1: Specific Purposes for Collection of Personal Data

According to the “The Purposes Specified in Personal Data Protection Act and Category of Personal Data” promulgated by Ministry of Justice, HSBC collects your personal data for the following specific purposes:

⊙Human resources administration ⊙Public relations ⊙Property declaration by public servants, avoidance of conflict of interest, and political donations ⊙Agency and brokerage ⊙Foreign exchange business ⊙Crime prevention, criminal investigation, execution, correction, protection & discipline, victim of crime protection, or rehabilitation protection ⊙ Arbitration ⊙National health insurance, labor insurance, farmer insurance, national pension or other social insurances ⊙ Criminal case management ⊙Deposit and remittance ⊙Registration of marketable securities and security holders ⊙ Marketing (including co-marketing by financial holding) ⊙Investment management ⊙Collecting, processing and using by financial service institution pursuant to laws and per the needs of financial supervision ⊙Financial dispute handling ⊙ Financial supervision, management and examination ⊙Collecting, processing and using of personal data by non-public entities under legal obligation ⊙ Trust ⊙ Contract, similar of contract or other legal relations ⊙ Consumer/customer management and service ⊙Consumer protection ⊙Property insurance ⊙Property management ⊙Tax administration ⊙ Pension or retirement fund management ⊙Commercial and technical information ⊙Accounting management and trading in creditor’s right ⊙Education or training administration ⊙Bills and notes ⊙Business of negotiable instruments exchange ⊙ Processing of claims, petitions and complaint cases ⊙Safety management of Entry/exit ⊙Data management of overseas Chinese ⊙Taxation ⊙Appeal and administrative remedy ⊙Township/city mediation ⊙Fund raising (including charity donation) ⊙ Accounting and related service ⊙ Information/communication service ⊙ Management of information/communication and database ⊙Information/communication security and management ⊙Online shopping and other e-commercial services ⊙Supplementary and logistic supporting management ⊙Advertisement or commercial activity management ⊙Credit checking ⊙Survey, statistic and research analysis ⊙Certification management ⊙Securities, futures, security investment trust and consultation ⊙Passport, visa and certificate processing ⊙Supervision and management of the industry by other public entities ⊙Other judicial administration ⊙Collecting, processing and use of personal data by other natural persons with proper purposes ⊙Other financial management affairs ⊙Other businesses within the registered business license or defined by the articles of organization ⊙Other consultation and advice services ⊙Coordination with domestic and international money laundering control, investigation for antiterrorist sanctions and economic sanctions ⊙U.S. taxation declaration